



QUALYS SECURITY CONFERENCE 2020

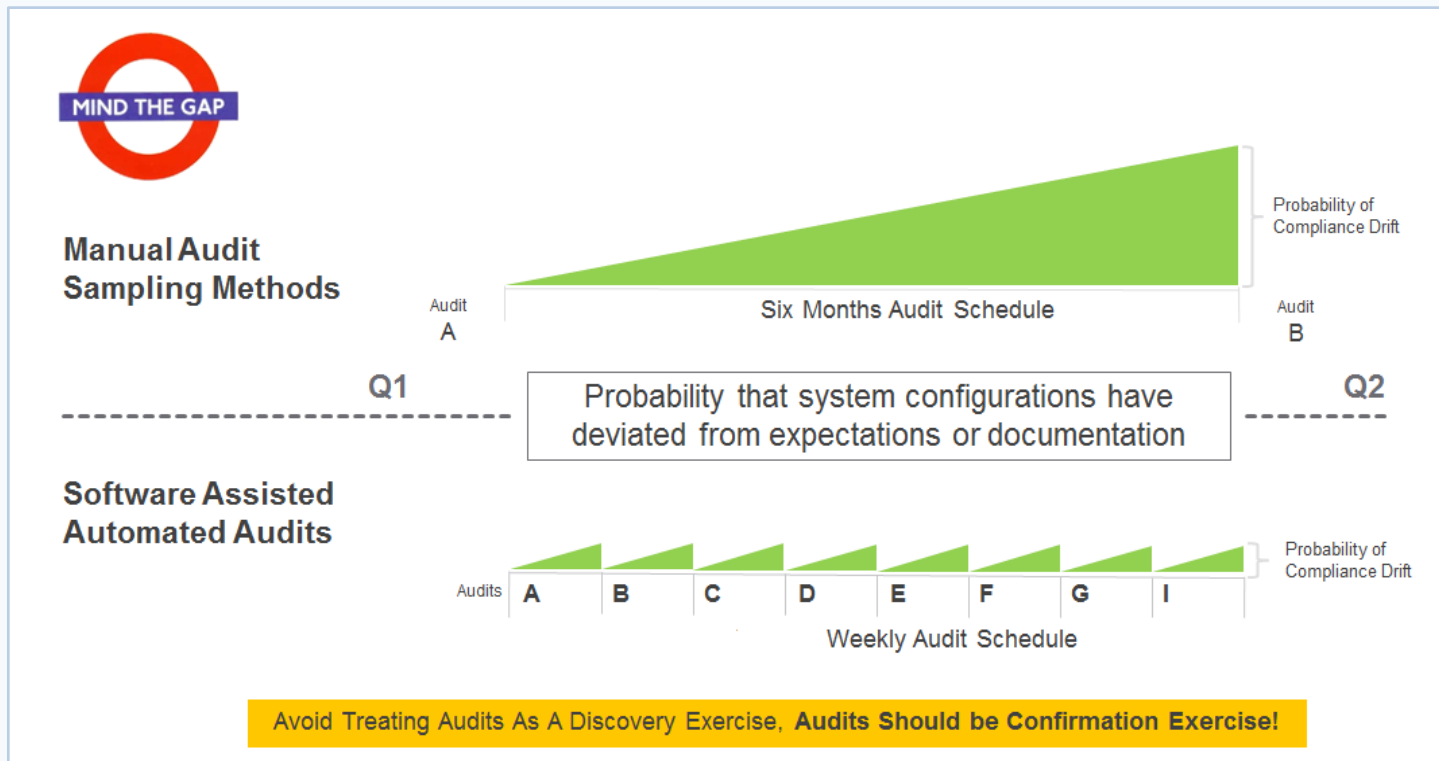
# Continuous Compliance in Hybrid Environment

New Frontier in Unified Compliance, Configuration  
and File Integrity Management

**Shailesh Athalye**

VP, Compliance Solutions, Qualys, Inc.

# 2014: Good Old Days of Compliance

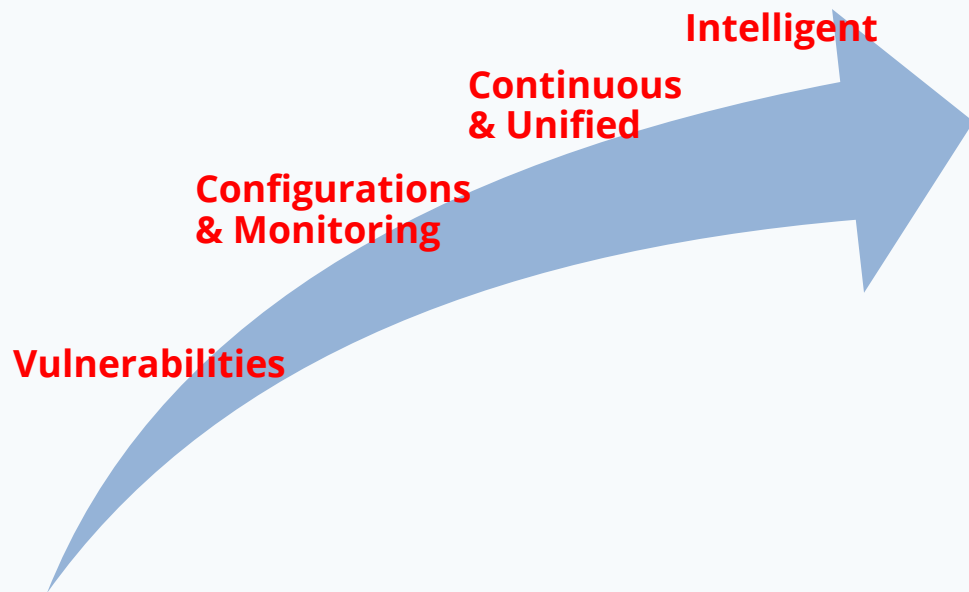


# 2020: Security is Continuous and Unified

To reduce the 'attack surface'

To reduce breaches due to misconfigurations, lack of monitoring

**Question remains:**  
How to make Compliance and Risk continuous?



# Semi-automated Way for Connecting is old!

Time to value

Time to see roll up the operational data

Varied types of Security and Assets data  
FIM, Patch, Malware, VM, threats  
Scoping and Tracking Assets

Point solutions injecting data with  
connectors, never normalize





# Connect Security with Compliance and Risk



Inventory Your Systems

Inventory and Restrict Software

Secure Configurations and Data Security

Continuous VM

Review Access Rights

AI SYN

SYN AI VM PC

PC

VM CM TP

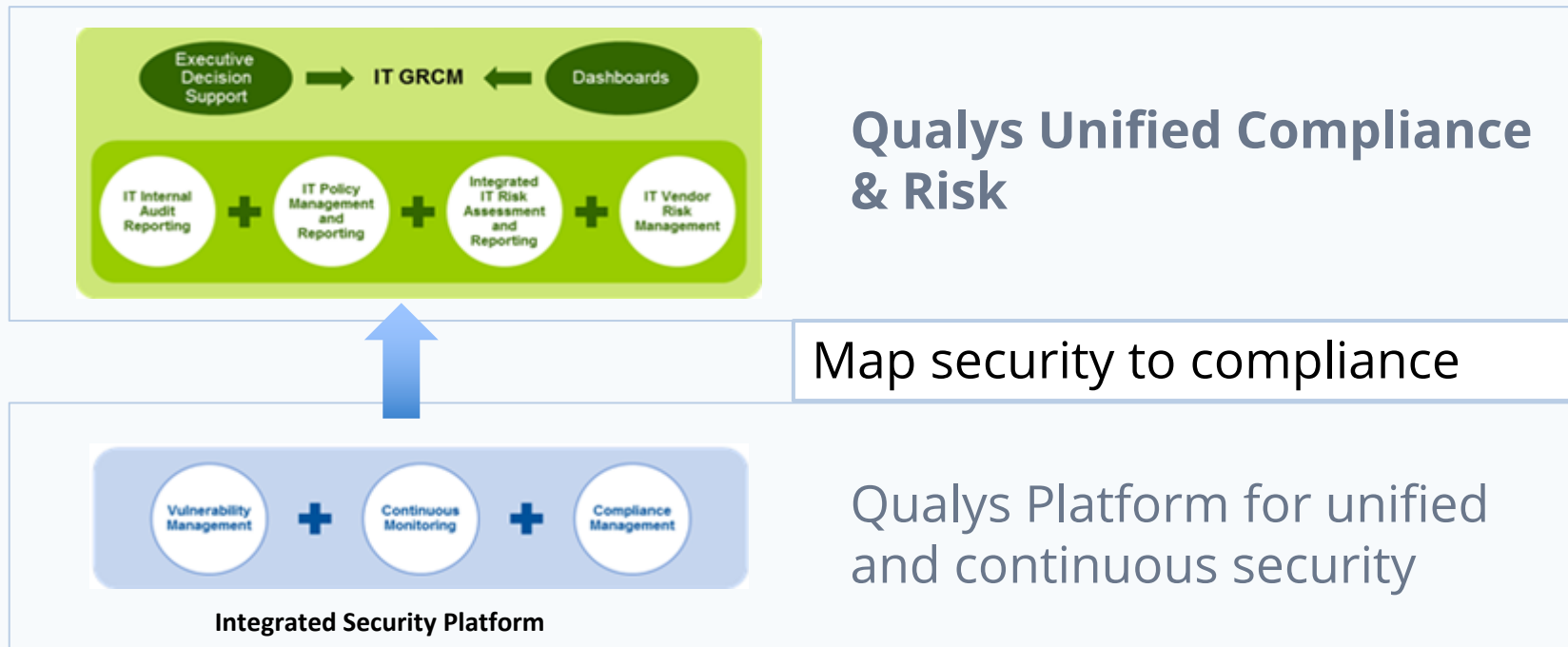
PC

<b>PCI DSS Requirements</b>
<b>8.2.3</b> Passwords/passphrases must meet the following: <ul style="list-style-type: none"> <li>Require a minimum length of at least seven characters.</li> <li>Contain both numeric and alphabetic characters.</li> </ul> Alternatively, the passwords/passphrases must have complexity and strength at least equivalent to the parameters specified above.
<b>8.2.4</b> Change user passwords/passphrases at least once every 90 days.

<b>ISO/IEC 27001 (Annex A) CONTROLS</b>
A.11.2 User access management
A.11.2.1 User registration
A.11.2.2 Privilege management
A.11.2.3 User password management

Section of HIPAA Security Rule	HIPAA Security Rule Standards	Implementation Specifications
164.308(a)(5)(ii)(D)		Password Management (A): Procedures for creating, changing, and safeguarding passwords.
CIP-007-5 Table R5 – System Access Control		
Part	Applicable Systems	Requirements
5.5	High Impact BES Cyber Systems and their associated: 1. EACMS; 2. PACS; and 3. PCA  Medium Impact BES Cyber Systems and their associated: 1. EACMS; 2. PACS; and 3. PCA	For password-only authentication for interactive user access, either technically or procedurally enforce the following password parameters:  5.5.1. Password length that is, at least, the lesser of eight characters or the maximum length supported by the Cyber Asset; and  5.5.2. Minimum password complexity that is the lesser of three or more different types of characters (e.g., uppercase alphabetic, lowercase alphabetic, numeric, non-alphanumeric) or the maximum complexity supported by the Cyber
CSC 16-3	Ensure that systems automatically create a report that includes a list of locked-out accounts, disabled accounts, accounts with passwords that exceed the maximum password age, and accounts with passwords that never expire. This list should be sent to the associated system administrator in a secure fashion.	

# Continuous Compliance & Risk From Continuous Security



# Continuous Risk and Compliance from Continuous Security

Qualys Unified Compliance maps every app's output to 25+ Compliance standards and Risk objectives

HOME DASHBOARD **ASSESSMENT** REPORTS CONFIGURATION

Mandates Assessment Risk Tickets

✕ Mandate.name like %Fedramp Mod% Last 30 days

TOTAL CONTROL OBJECTIVE  
325

FAILED CONTROL OBJECTIVE  
98

TOTAL CONTROLS EVALUATED  
223K

FAILED CONTROL EVALUATIONS  
26K

1 - 10 of 325

Action Generate Report

MANDATE ID	OBJECTIVE	OBJECTS	POSTURE EVALUATION			ASGNT.STATUS	CRITICALITY
			STATUS	PASS	FAIL		
IA-5	Authenticator management	1992	Fail	1036	959	-	Critical
IA-5 (1)	Password-Based Authentication	1308 (Assets)	Fail	1011	297	-	Critical
	Datacenter Assets	1134 (Assets)	Fail	907	227	-	NA
	CID CONTROL NAME	OBJECTS	POSTURE EVALUATION				CRITICALITY
	1071 Status of minimum password strength	1058 (Assets)	Fail	838	220	Unassigned	Critical
	10459 Status of required special characters	824 (Assets)	Fail	634	190	Unassigned	Critical
	SaaS Objects	1 (Connector)	Pass	1	0	-	NA
	CID CONTROL NAME	OBJECTS	POSTURE EVALUATION				CRITICALITY
	60032 GSUITE Admin Strong Password Policy...	1 (Connectors)	Pass	1	0	Resolved	Critical
	61011 Microsoft365 AD Password Policy Enforc...	1 (Connectors)	Pass	1	0	Resolved	Critical
	Mobile Devices	170 (Assets)	Fail	100	70	-	NA
	CID CONTROL NAME	OBJECTS	POSTURE EVALUATION				CRITICALITY
	89 Mobile phone passcode length	170 (Assets)	Fail	100	70	In Progress	Critical
	Public Cloud Services	3 (Connectors)	Pass	3	0	-	NA
	CID CONTROL NAME	OBJECTS	POSTURE EVALUATION				CRITICALITY
	6 Ensure that AWS IAM password policy is ...	3 (Connectors)	Pass	3	0	NA	Critical
	7 Ensure IAM password policy requires at ...	3 (Connectors)	Pass	3	0	NA	Critical

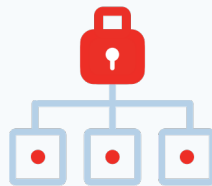
# New-age Challenges: Teams Speaking Different Languages



Elastic, Kafka, custom  
web servers



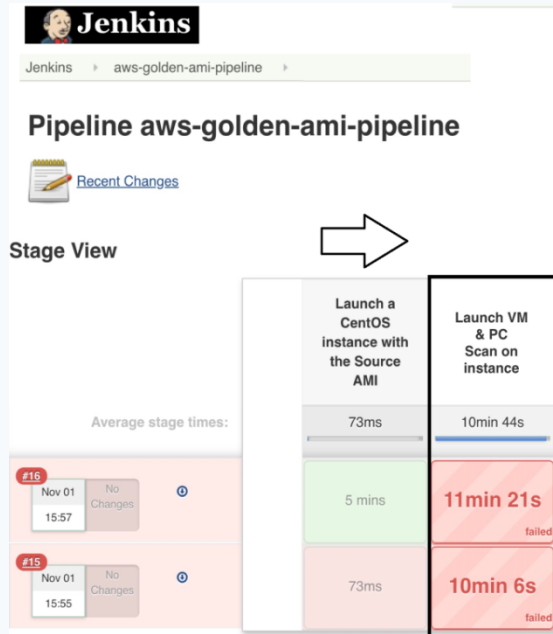
Identify risk and  
compliance



Secure hosts, config/integrity/  
vulnerability management

Security & Compliance teams should be running with DevOps from the start

# Start Compliant, Stay Compliant in DevOps with Qualys PC in CI Phase

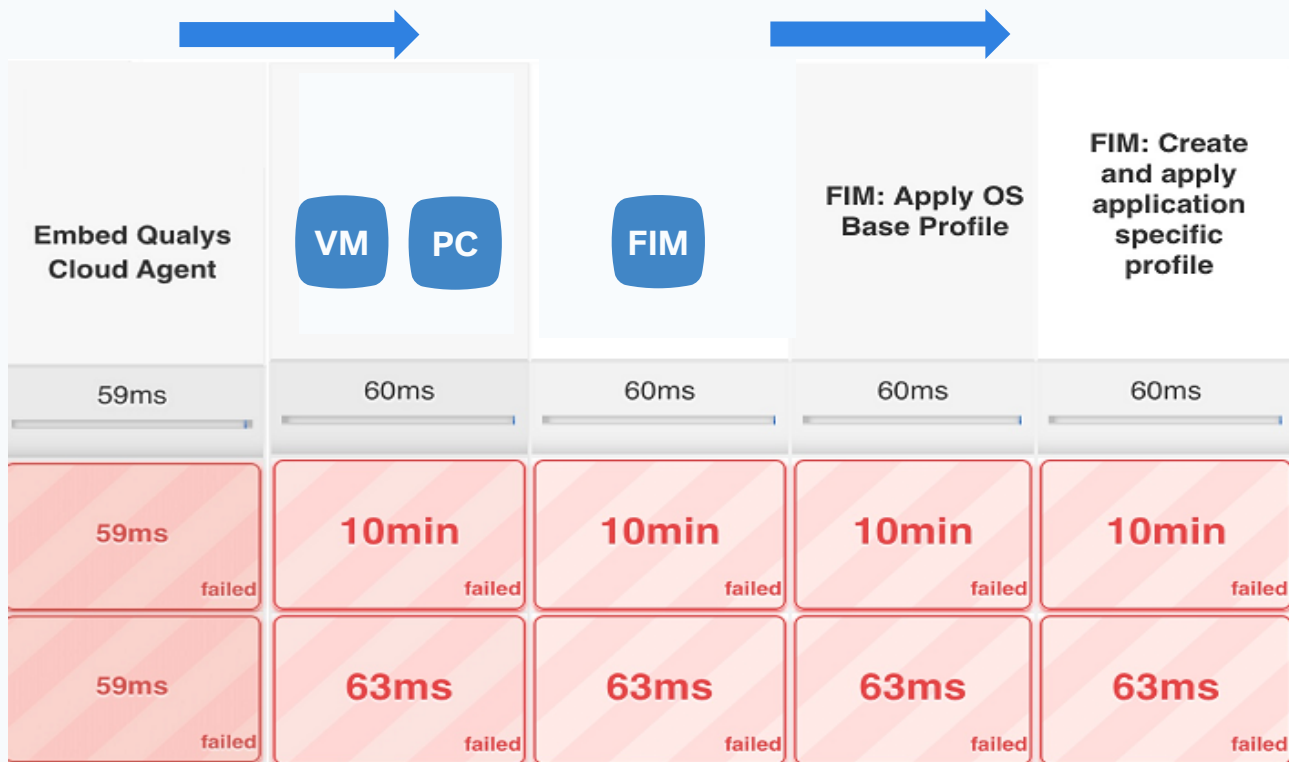


## QUALYS POLICY COMPLIENCE RESULTS

Show 10 entries

CID	Title	Technology	Criticality
14602	Status of the 'nosuid' option for '/tmp' partition using 'mount' command	CentOS 7	4
10804	Status of the SELinux current mode (running configuration)	CentOS 7	4
10643	Status of iptables package	CentOS 7	4
12815	List of runtime audit rules for '/etc/passwd' file, using auditctl	CentOS 7	4
10664	Status of the 'OPTIONS' setting within '/etc/sysconfig/chronyd' file	CentOS 7	4
9473	Existence of the 'extraneous' files and directories (Sensitive files/Directories)	Tomcat 8	3
9477	Status of 'X-Powered-By' setting within 'server.xml' file	Tomcat 8	4
9551	Status of the 'secure' attribute for each 'Connector' elements whose 'SSL Enabled' are set to 'true'	Tomcat 8	4
9605	Status of the command-line flag 'STRICT_SERVLET_COMPLIANCE' set for the Tomcat process	CentOS 7	4
9565	Status of the 'web server processes' which are not started with 'Security Manager'	CentOS 7	4

# Qualys FIM Monitors From CD Phase



# Automatically Discover and Assess Middleware with Dynamic Paths

Just based on host scans,  
discover unauthorized  
technologies,  
web servers,  
databases automatically

And...

There's no need to  
create authentication  
records

Apache Tomcat 8.x			
1. ApacheTomcatControls			
(1.1) <a href="#">9505</a> Status of the 'permissions' within '\$CATALINA_HOME/webapps' directory			CRITICAL
▶ 1. Apache TC 8::/opt/apache-tomcat-8.0.18/apache-tomcat-8.0.18	Status:	PASS	
▶ 2. Apache TC 8::/opt/apache-tomcat-8.5.20	Status:	PASS	
▶ 3. Apache TC 8::/opt/apache-tomcat-8.5.20/apache-tomcat	Status:	PASS	
▶ 4. Apache TC 8::/opt/apache-tomcat-8.5.20/apache-tomcat1	Status:	PASS	
(1.2) <a href="#">9602</a> Status of the 'manager application (webapps/manager)' setting			SERIOUS
▶ 1. Apache TC 8::/opt/apache-tomcat-8.5.20/apache-tomcat1	Status:	PASS	
▶ 2. Apache TC 8::/opt/apache-tomcat-8.0.18/apache-tomcat-8.0.18	Status:	PASS	
▶ 3. Apache TC 8::/opt/apache-tomcat-8.5.20/apache-tomcat	Status:	PASS	
▶ 4. Apache TC 8::/opt/apache-tomcat-8.5.20	Status:	PASS	
(1.3) <a href="#">9603</a> Status of the 'manager application (manager.xml)' setting			SERIOUS
(1.4) <a href="#">9606</a> Status of the command-line flag 'RECYCLE_FACADES' set for the Tomcat process			CRITICAL
(1.5) <a href="#">9610</a> Status of the 'connectionTimeout' value within 'Connector' element in 'server.xml' file			SERIOUS
(1.6) <a href="#">9611</a> Status of the 'maxHttpHeaderSize' value within 'Connector' element in 'server.xml' file			SERIOUS

# CISO Responsibility: Ensure Security Controls are in Place and Functioning

<https://www.bitsight.com/blog/ciso-roles-and-responsibilities>

Is Anti-virus active, updated for signatures, scanning?

Is FIM, EDR agent configured correctly to monitor?

Are OS native application protection, memory protection configured?

Need to have Security Control Validation (SCV) in place to test and confirm that security tools have their pre-requisites in place and are configured properly on all endpoints



# Security Control Validation from Policy Compliance

Anti-Virus technologies | Qualys FIM Agent | Splunk | Kafka | Native Malware Protection

Reports

51  
Total Control Instances

CATEGORY

Anti-Virus/Malwa... 51

Criticality

MEDIUM 3

SERIOUS 18

CRITICAL 26

URGENT 4

Posture

PASS 41

ERROR 1

FAIL 9

Control View

pc.policy.name:"Qualys Security windows" and pc.control.category:"Anti-Virus/Malware"

1 - 50 of 51

	STATUS	CID	CONTROL	TECHNOLOGY/INSTANCE	ASSET NAME	LAST EVALUATION
				os	10.10.36.125   COMDEV	
▶	PASS	12364	Status of the 'CommunicationStatus' (Last time st	Windows 10	comqaw10es	Nov 13, 2019
			Nov 13, 2019	os	10.10.36.126   COMQA	
▶	PASS	12364	Status of the 'CommunicationStatus' (Last time st	Windows Server 2012 R2	i-6f91d2a8	Nov 13, 2019
			Nov 13, 2019	os	10.11.114.112   I-6F91D	
▶	PASS	13738	Status of the Symantec 'last Virus scan time' older	Windows 2008 Server	com-2k8-32-87	Nov 13, 2019
			Nov 13, 2019	os	10.10.32.87   COM-2K8-	
▼	PASS	13738	Status of the Symantec 'last Virus scan time' older	Windows 10	comdevw10es	Nov 13, 2019
			Nov 13, 2019	os	10.10.36.125   COMDEV	
Qualys Policy for Security Control Validation on Windows Platform						
▶	PASS	13738	Status of the Symantec 'last Virus scan time' older	Windows 10	comqaw10es	Nov 13, 2019
			Nov 13, 2019	os	10.10.36.126   COMQA	

# Start Gold, Continuously Assess, Remediate

Policy Compliance ▾

DASHBOARD POLICIES SCANS **REPORTS** EXCEPTIONS ASSETS USERS

Reports Schedules Policy Summary **Control View** Templates Setup

72  
Total Controls

LABELS  
Qualys 72

TAGS  
USproduction 72

Policy.name like '%RDP%' and asset.tagName='USproduction' and control.status='failed' Last 24 Hrs

Display: Unified Control Asset

CONTROL COMPLIANCE Policy.name like '% RDP%'

100%

● Failing 06

TRENDING

Jan 01 TODAY

1 - 50 of 75

Actions Group by...

Create Remediation Job

Create Alert

Add Exception

		CONTROL NAME	TECHNOLOGY	ASSET NAME	POLICY EVALUATION	
<input checked="" type="checkbox"/>	Failed	1430	Status of the 'Terminal Services' service	Windows 7	SFO03HQLP79 10.10.35.242	Mar 21, 2018
<input checked="" type="checkbox"/>	Failed	1040	Status of the 'Set time limit for active Remote Desktop Services sessions' setting	Windows 10	SFO04HQLP713 10.10.35.241	May 03, 2018
<input checked="" type="checkbox"/>	Failed	2200	Current list of Groups and User Accounts granted the 'Deny logon through terminal (Remote Desktop)...	Windows 2008 Server	DC03SJC1SQLDB 10.10.31.129	Oct 22, 2018

# Network Devices, Printers and sensitive hosts can't be Scanned but are in Security & Compliance Scope

## Use Qualys Out-of-Band Config Assessment (OCA)

- Create custom assets
- Push command output, vulnerability, config data

Controls validate settings

Report vulnerabilities, security and misconfigurations

The screenshot displays the HP Qualys Out-of-Band Config Assessment (OCA) interface. The top navigation bar is blue with the HP logo. Below it, a menu bar includes 'File', 'View', and 'Help'. The main section is titled 'Detailed Results' and shows the IP address 154.36.214.3 (hp-in01-prn02, HP-IN01-PRN02) and the asset name HP FutureSmart 4. A summary bar indicates 12 controls, 12 passed (100%), 2 failed, and 0 errors. The interface is divided into two main sections: 'Tracking Method' and 'Controls'. The 'Tracking Method' section lists the OCA tracking method, last scan date (09/05/2019 at 11:12:12 GMT+0530), Qualys Host ID (c9192ca4-8bf4-454c-82fa-8c31003521fa), and asset tags (OCA). The 'Controls' section lists 12 controls, all of which are passed. Below this, a section titled 'HP FutureSmart 4.x' shows a list of system configuration controls. The first control, '(1.1) 1116 Status of the 'File Transfer Protocol (FTP)' service', is marked as CRITICAL and has a status of PASS. The second control, '(1.2) 1861 Status of the 'telnet' service', is marked as CRITICAL and has a status of FAIL. The third control, '(1.3) 10270 Status of the SNMP community strings', is marked as SERIOUS and has a status of PASS. The fourth control, '(1.4) 12413 Status of the 'AppleTalk' protocol', is marked as SERIOUS and has a status of PASS. The fifth control, '(1.5) 13857 Status of version of firmware stored in boot PROM', is marked as CRITICAL and has a status of PASS. The sixth control, '(1.6) 14039 Status of SNMP configuration of version SNMPv1', is marked as CRITICAL and has a status of PASS.

Tracking Method	OCA	Controls	12
Last Scan Date:	09/05/2019 at 11:12:12 (GMT+0530)	Passed:	12 (100%)
Qualys Host ID:	c9192ca4-8bf4-454c-82fa-8c31003521fa	Failed:	0
Asset Tags:	OCA	Error:	0
		Approved Exceptions:	0
		Pending Exceptions:	0

Control ID	Control Name	Severity	Status
(1.1) 1116	Status of the 'File Transfer Protocol (FTP)' service	CRITICAL	PASS
(1.2) 1861	Status of the 'telnet' service	CRITICAL	FAIL
(1.3) 10270	Status of the SNMP community strings	SERIOUS	PASS
(1.4) 12413	Status of the 'AppleTalk' protocol	SERIOUS	PASS
(1.5) 13857	Status of version of firmware stored in boot PROM	CRITICAL	PASS
(1.6) 14039	Status of SNMP configuration of version SNMPv1	CRITICAL	PASS

# Policy Compliance

Best in class technology and content coverage  
For Configuration Management

- >450 Policies, >14,000 controls
- >150 technologies (traditional, emerging)
- > Widest coverage for CIS, STIG, Mandates and beyond
- > Qualys security experts author CIS benchmarks

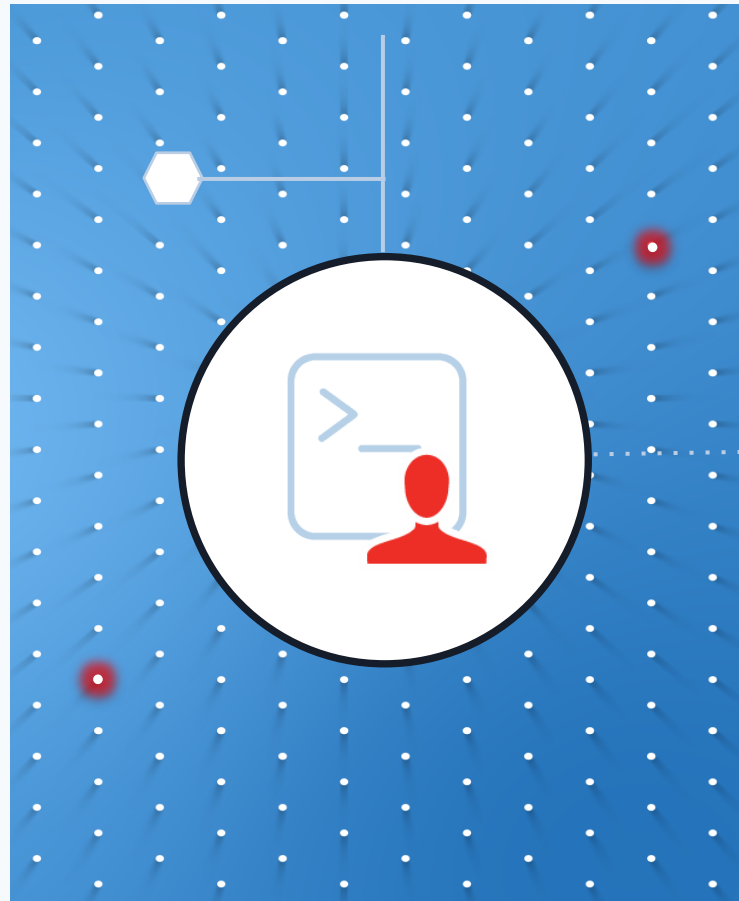
Data collection from all Qualys sensors

Custom database security & integrity controls

Auto-discovery of middleware technologies

File, Directory Integrity, Network Shares  
Monitoring

Auto-remediation for configuration failures



# New PC UI and Customizable Dashboard

# Policy Compliance Roadmap

**Q4 - 2018**

Faster PC agent data processing  
File Content search for Windows  
(Search sensitive content)  
Auto-discovery for database  
technologies

**2020 Q1**

**New PC UI, customizable dashboards**  
Dynamic, real-time compliance against policies, mandates  
Integration of PC/config data with Asset Inventory  
**Gold policies to fix configuration Issue 'upfront'**  
Ticketing integration with JIRA, ServiceNOW

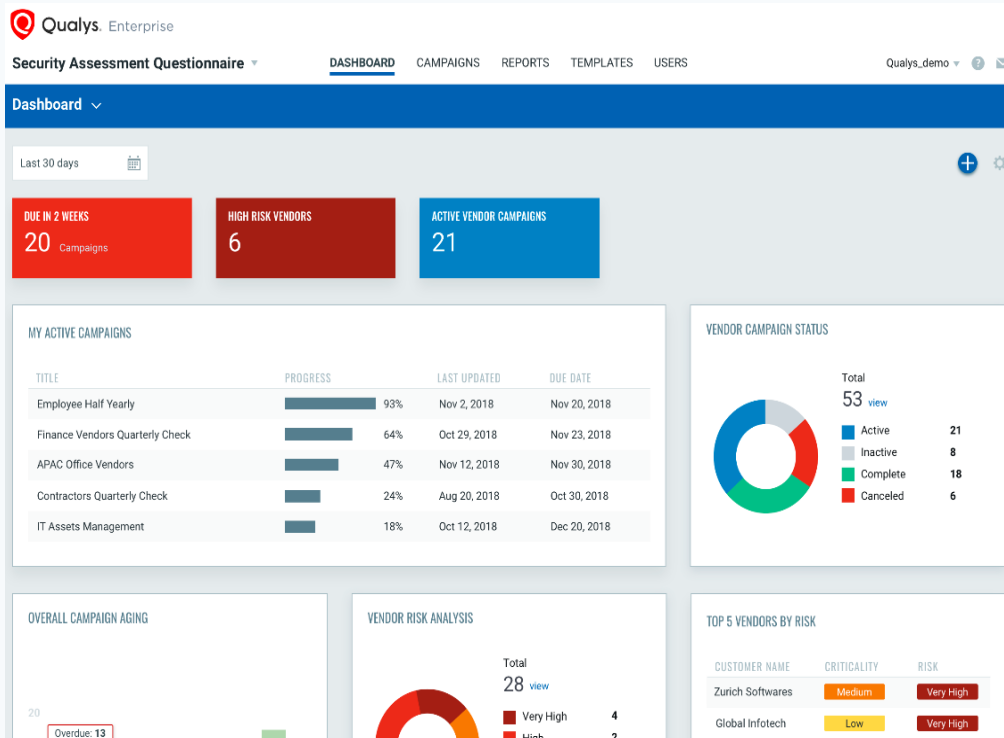
**2020 Q2**

Configuration assessment for RDS  
**Automated alerting for compliance, config failures**  
Support for executing scripts/commands for custom apps  
**PC agent support for web server technologies**  
Compliance trending

# Your security is only as strong as your weakest vendor

**Qualys Security Assessment Questionnaire (SAQ)** helps in managing vendor risk per vendor criticality

With **SAQ**, consolidate your vendor security and process compliance with technical security posture on the same platform



# File Integrity Monitoring (FIM)



# Qualys FIM: In just Second Year, 190+ customers

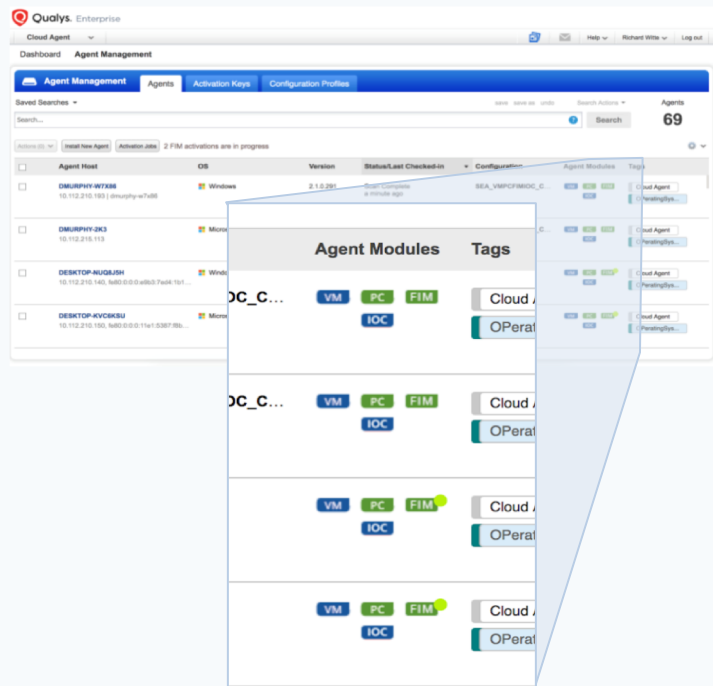
Built on the same Qualys Cloud Agent you use for VM, PC

Real-time detection for high volume, high scale

Automated incident management and alerting

Out of the box PCI monitoring profiles for OS and applications

No infrastructure, data load for you to manage



# Alert and Incident Management for Authorized vs Unauthorized Changes During Patching with Qualys FIM

**Qualys**. Enterprise

File Integrity Monitoring

DASHBOARD

EVENTS

RULES

INCIDENTS

REPORTS

ASSETS

CONFIGURATION

Rules

3

Total Activities

RULE NAME

Unauthorized Wi...

2

Authorized Wind...

1

ACTION NAME

Windows Patch ...

3

EMAIL RECIPIENTS

ljhamb@qualys.c...

3

akaur@qualys.co...

3

shings@qualys.c...

2

Activity

Rule Manager

Actions

✕

ruleName:"Unauthorized Windows Patching Activity" or ruleName:"Authorized Windows Patching A ctivity"

Last 30 Days

≡

14 Oct

16 Oct

18 Oct

20 Oct

22 Oct

24 Oct

26 Oct

28 Oct

30 Oct

1 Nov

3 Nov

5 Nov

7 Nov

9 Nov

11 Nov

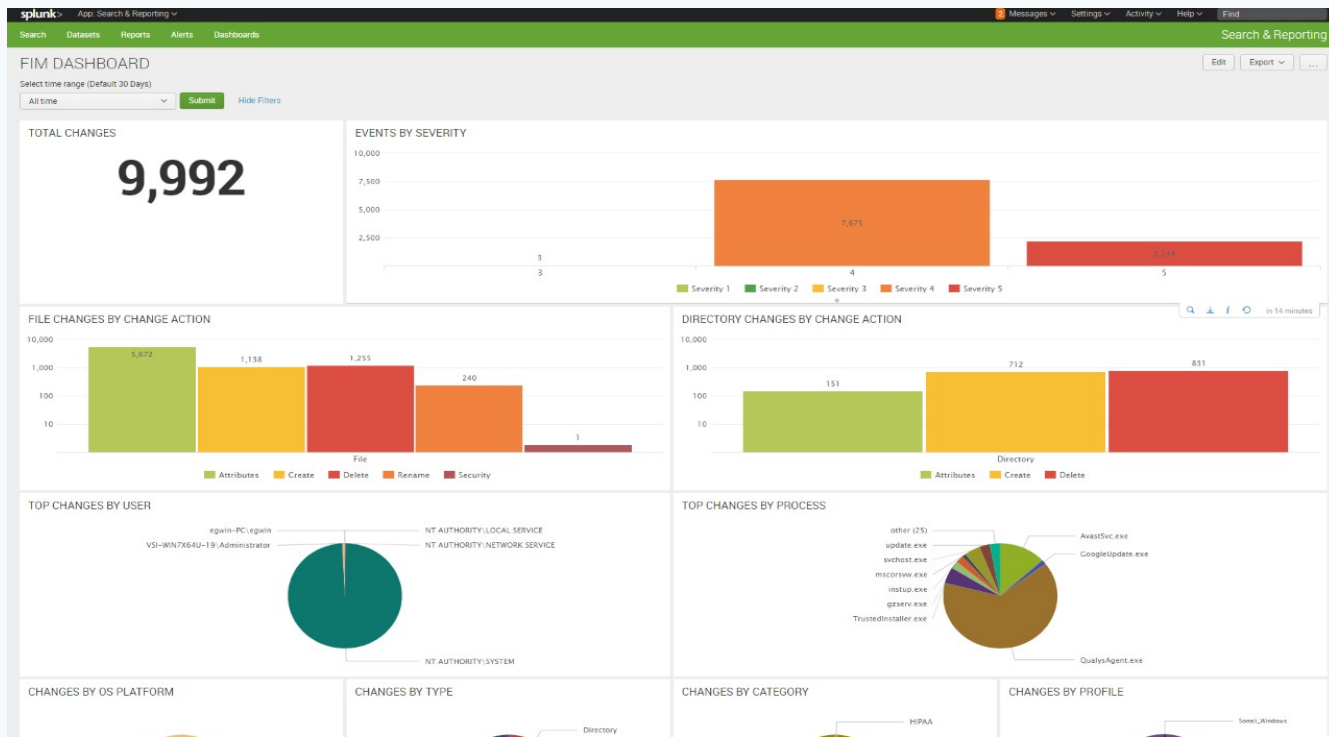
13 Nov

15 Nov

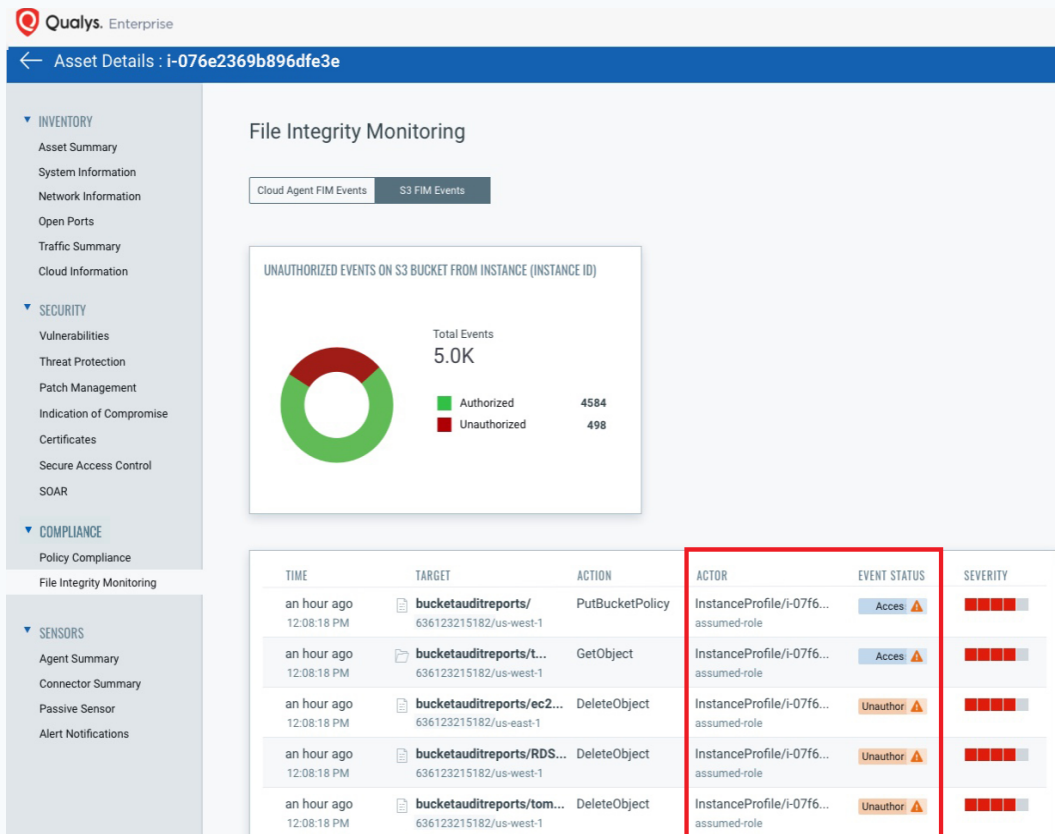
1 - 3 of 3

RULE NAME	STATUS	AGGREGATE	ACTION	MATCHES	CREATED BY
<b>Authorized Windows Patching Acti...</b>	Success	Yes	Windows Patch Activity...	1	Aparna Hinge
Authorized Windows Patching Activity	29 minutes ago				
<b>Unauthorized Windows Patching A...</b>	Success	Yes	Windows Patch Activity...	1	Aparna Hinge
Unauthorized Windows Patching Activity	29 minutes ago				
<b>Unauthorized Windows Patching A...</b>	Success	Yes	Windows Patch Activity...	1	Aparna Hinge
This Rule lists down all the events which ...	2 hours ago				

# Open APIs: Integrate with Any External SIEM, DWH

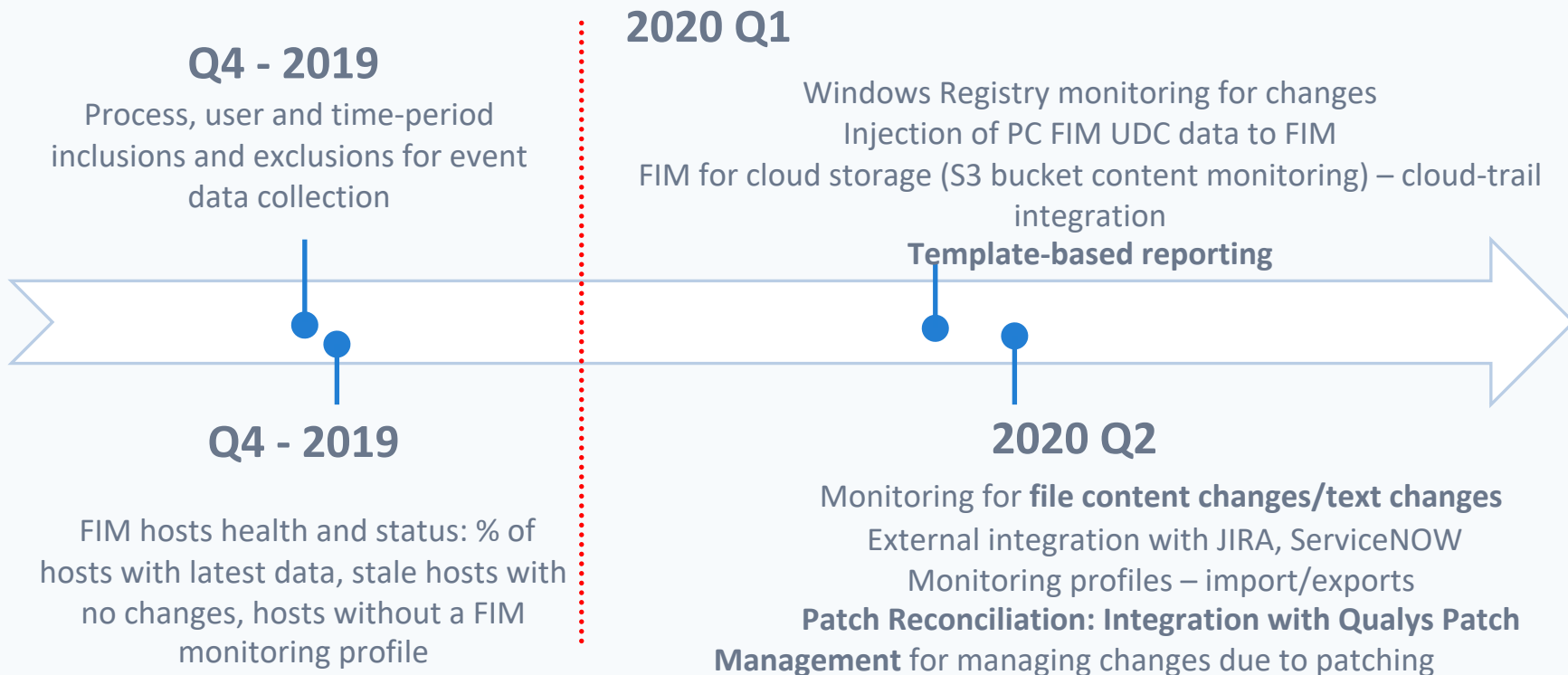


# Qualys FIM gives context of changes in cloud



# FIM Demo

# FIM Roadmap



# Software as a Service (SaaS) Security & Compliance

# Even Cloud is bloated; Need just SaaS Applications

Public cloud spending skyrockets as SaaS shines



**IDC: Cloud spending to grow 21% by 2021**



**HR gets the cloud treatment**

THE AUSTRALIAN

**Workday Rises on Demand for Business Cloud-Based Software**

Bloomberg



Office 365

box



Microsoft, Google Make Cloud Offerings More Enticing

eWEEK

Spending On CRM Apps Predicted To Soar In 2018

COMPUTERWORLD





# Manage Access, Exposure, Configuration and Compliance of SaaS Applications

**Qualys SaaS Security and Compliance (SSC)** enables

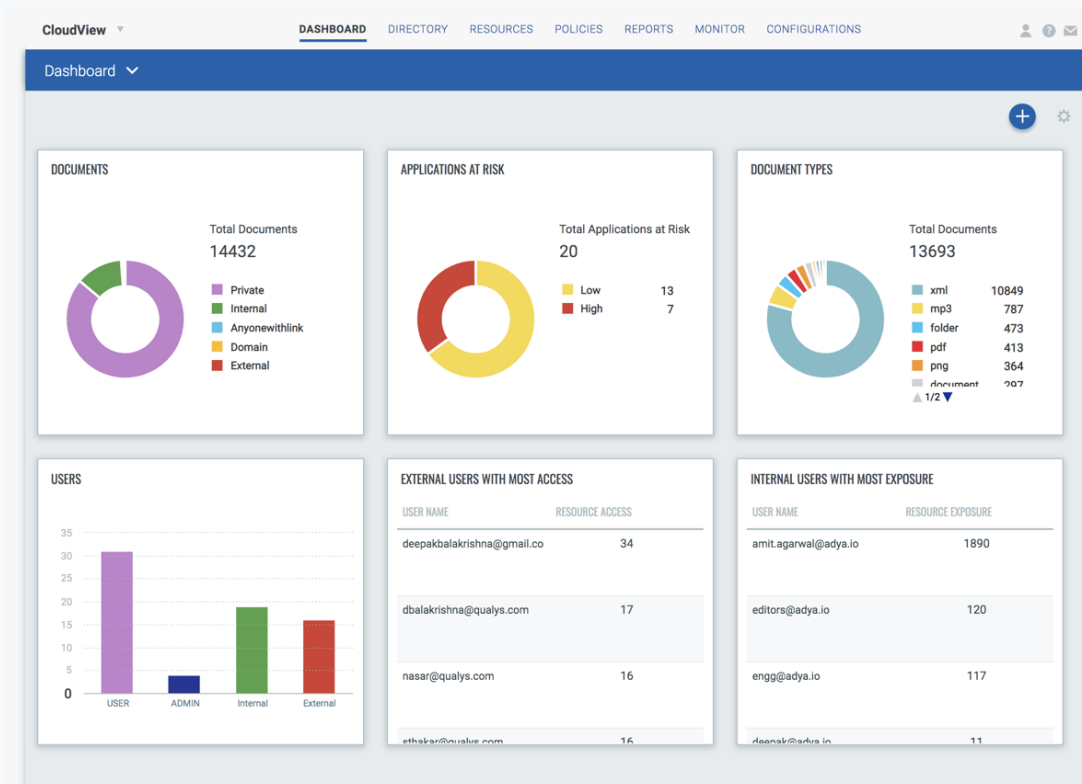
Inventory

Access

Exposure

Security Configurations

of SaaS applications and resources  
E.g. Office365, Gsuite, Salesforce

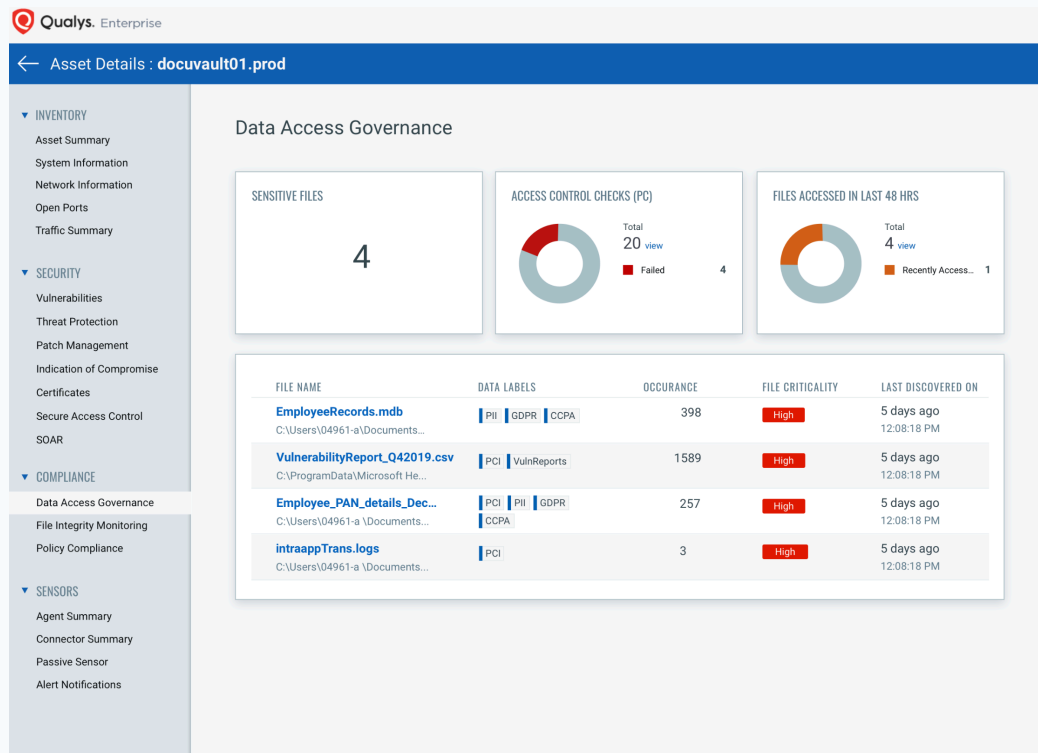


# Discover Sensitive data and make sure it is secure and monitored for changes with Qualys DAG

**Qualys Data Access Governance (DAG)** will help with regulatory compliance

Discovery  
Access Visibility  
Activity Monitoring

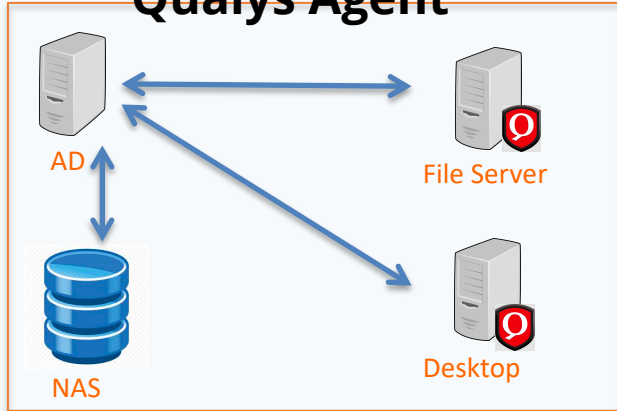
For your sensitive, critical data



## Cloud Applications



## Qualys Agent



## On Premise Unstructured Data

Directory / Metadata / access / classification  
Adya/CV/CloudTrail

Directory / Metadata / access / based on rules

## Qualys Cloud Platform

Unstructured Data Discovery

Visibility in ITAM – Know Assets hold sensitive data

Secure through PC - Create permission/share/access controls to check their access

Compliance

GDPR / CCA / HIPAA/ etc

Monitor them through FIM



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# Thank You

Compliance Team and Shailesh Athalye  
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